

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be immediately paid to the clerk who is responsible for the conduct of the election.

For the campaign period from (day clerk received nomination)

YYYY	MM	DD
2 0 2 2	0 5	0 5

 to

YYYY	MM	DD
2 0 2 2	1 2	3 1

- Initial filing reflecting finances from start of campaign to December 31 (or 45 days after voting day in a by-election)
- Supplementary filing reflecting finances from start of campaign to end of extended campaign period

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name CLARKE	Given Name(s) HENRY
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Office for Which the Candidate Sought Election MAYOR	Ward Name or Number (if any)
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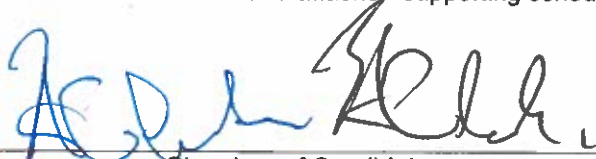
Municipality
CITY OF PETERBOROUGH

Spending Limit General \$62,860.50	Parties and Other Expressions of Appreciation \$6,286.05	Contribution Limit Contributions from Candidate and Spouse \$20,526.00
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I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

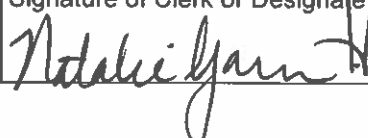
I, HENRY CLARKE, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

2023/03/13

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd) <u>2023-03-13</u>	Time Filed <u>11:05 a.m.</u>	Initial of Candidate or Agent (if filed in person) <u>HC</u>	Signature of Clerk or Designate 
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Box C: Statement of Campaign Income and Expenses

LOAN

Name of bank or recognized lending institution	Amount borrowed \$
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INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+	\$	41,755.03	
Revenue from items \$25 or less	+	\$		
Sign deposit refund	+	\$		
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+	\$		
Interest earned by campaign bank account	+	\$		
Other (provide full details)				
1. _____	+	\$		
2. _____	+	\$		
3. _____	+	\$		
4. _____	+	\$		
5. _____	+	\$		
6. _____	+	\$		
Total Campaign Income (Do not include loan)				= \$ 41,755.03 C1

EXPENSES (Note: Include the value of contributions of goods and services)

1. Expenses subject to general spending limit

Inventory from previous campaign used in this campaign (list details in Table 2 of Schedule 1)	+	\$		
Advertising	+	\$	10,110.41	
Brochures/flyers	+	\$	6,150.53	
Signs (including sign deposit)	+	\$	19,768.22	
Meetings hosted	+	\$		
Office expenses incurred until voting day	+	\$	169.99	
Phone and/or internet expenses incurred until voting day	+	\$		
Salaries, benefits, honoraria, professional fees incurred until voting day	+	\$		
Bank charges incurred until voting day	+	\$	383.53	
Interest charged on loan until voting day	+	\$		
Other (provide full details)				
1. Campaign launch	+	\$	552.90	
2. Website	+	\$	500.00	
3. Debate tickets	+	\$	125.00	
4. _____	+	\$		
5. _____	+	\$		
6. _____	+	\$		
Total Expenses subject to general spending limit			= \$ 37,760.58 C2	

2. Expenses subject to spending limit for parties and other expressions of appreciation

1. Food & Beverages for Election Party	+	\$	870.10
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2. Volunteer appreciation dinner	+ \$	553.45	
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
Total Expenses subject to spending limit for parties and other expressions of appreciation	= \$	1,423.55	C3

3. Expenses not subject to spending limits

Accounting and audit	+ \$	2,175.00	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$		
Office expenses incurred after voting day	+ \$		
Phone and/or internet expenses incurred after voting day	+ \$		
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$		
Bank charges incurred after voting day	+ \$	5.50	
Interest charged on loan after voting day	+ \$		
Expenses related to recount	+ \$		
Expenses related to controverted election	+ \$		
Expenses related to compliance audit	+ \$		
Expenses related to candidate's disability (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
Other (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
Total Expenses not subject to spending limits	= \$	2,180.50	C4

Total Campaign Expenses (C2 + C3 + C4) = \$ **41,364.63** **C5**

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	390.40	D1
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	390.40	
Surplus (or deficit) for the campaign	= \$		D2

If line D2 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Table 4: Contributions in goods or services from individuals other than candidate or spouse
 (Note: Must also be recorded as Expenses in Box C.)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
Total				

Additional information is listed on separate supplementary attachment, if completed manually.

Total for Part III – Contributions exceeding \$100 per contributor
 (Add totals from Table 3 and Table 4 and record the total in Part 1 – Summary of Contributions) \$ 26,850.00 1B

Schedule 2 – Fundraising Events and Activities

Complete a separate schedule for each event or activity held.

Additional schedule(s) attached, if completed manually.

Fundraising Event/Activity 1

Description of fundraising event/activity None

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person) \$ _____ 2A

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold x _____ 2B

Total Part I (2A X 2B) (include in Part I of Schedule 1) = \$ _____

Part II – Other revenue deemed a contribution

Provide details (e.g., revenue from goods sold in excess of fair market value)

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

Total Part II (include in Part I of Schedule 1) = \$ _____

Part III – Other revenue not deemed a contribution

Provide details (e.g., contribution of \$25 or less; goods or services sold for \$25 or less)

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

Total Part III (include under Income in Box C) = \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

Total Part IV Expenses (include under Expenses in Box C) = \$ _____

Auditor's Report – Municipal Elections Act, 1996 (Section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor
Tim J.F. Nicholls Accounting

Municipality Peterborough	Date (yyyy/mm/dd) 2023/03/10
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Contact Information

Last Name or Single Name Nicholls	Given Name(s) Tim	Licence Number 1-16710
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Address		
Suite/Unit Number	Street Number 294	Street Name Rink Street

Municipality Peterborough	Province Ontario	Postal Code K9J 2K2
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Telephone Number 705-741-5612	Email Address Tim@nichollsca.com
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The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

Tim J.F. Nicholls
Chartered Professional Accountant

INDEPENDENT AUDITOR'S REPORT

To Henry Clarke, Candidate

Report on the Financial Statement

I have audited the accompanying Financial Statement - Form 4 of the Campaign to Elect Henry Clarke which includes the Statement of Campaign Income and Expenses for the period May 5, 2022 to December 31, 2022. The financial statement has been prepared by the Candidate based on the financial reporting provisions of the *Municipal Elections Act, 1996*.

Candidate's Responsibility for the Financial Statement

The Candidate is responsible for the preparation of this financial statement in accordance with the financial reporting provisions of the *Municipal Elections Act, 1996* and for such internal control as the Candidate determines is necessary to enable the preparation of the financial statement that is free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

My responsibility is to express an opinion on this financial statement based upon my audit. I conducted my audit in accordance with Canadian generally accepted auditing standards. Those standards require that I comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statement is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statement. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statement in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Campaign's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the Candidate, as well as evaluating the overall presentation of the financial statement.

I believe that the audit evidence I have obtained, including verifying Campaign contributions to the Campaign bank account, is sufficient and appropriate to provide a basis for my qualified audit opinion.

Basis for Qualified Opinion

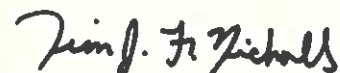
Due to the inherent nature of the transactions of organizations of this type, the completeness of the various categories of income and expenses is not susceptible to satisfactory audit verification. Accordingly, my verification of income and expenses was limited to the amounts recorded in the Campaign's records and I was not able to determine whether any adjustments might be necessary to income and expenses from May 5, 2022 to December 31, 2022.

Qualified Opinion

In my opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, this financial statement presents fairly, in all material respects, the income and expenses of the Campaign to Elect Henry Clarke from May 5, 2022 to December 31, 2022 in accordance with the financial reporting provisions of the *Municipal Elections Act, 1996*.

Basis of Accounting

The financial statement is prepared to assist the Campaign to Elect Henry Clarke to comply with the financial reporting provisions of the *Municipal Elections Act, 1996*. As a result, the financial statement may not be suitable for other purposes. My report is intended solely for the use of the Campaign to Elect Henry Clarke and the Ontario Ministry of Municipal Affairs and Housing, and should not be distributed to or used by parties other than the Campaign to Elect Henry Clarke and the Ontario Ministry of Municipal Affairs and Housing.



Peterborough, Ontario
March 10, 2023

Tim J.F. Nicholls CPA
Licensed Public Accountant

PART III, Table 3

**Monetary Contributions Exceeding \$100 From Individuals Other Than Candidate or Spouse
 Campaign for Henry Clarke**

DATE REC'D	NAME OF DONOR	ADDRESS	AMOUNT
May 6/22	Brendan J. Moher	2-880 Armour Road, Ptbo K9H 2A6	300.
	Bob Prentice	7 - 300 Franmor Drive, Ptbo K9H 7R1	1,000.
	Joan McCullough	365 Highland Rd. P'tbo K9H 5J6	500.
	Sheldon Rokin	59 Bamboo Court, Toronto M3B 2C9	1,000.
May 19/22	Robert Rollwagen	906-1300 Mississauga Valley Blvd. Miss L5A 3S8	150.
May 27/22	Sylvia Sutherland	2-1403 Monaghan Road, Ptbo K9J 5M6	200.
May 28/22	David Haacke	477 Carriage Lane, Ptbo K9L 2 A4	500.
	Lois Tuffin	3526 Wallace Pt. Rd, Otonabee SM K9J 6Y3	1,200.
	Shirley McDowell	120 Oakwood Crescent, Ptbo K9J 1N3	500.
	Paul Ayotte	486 Rogers Street, Ptbo K9H 1W9	200.
June 6/22	Paul Dietrich	2964 Eagle's Cliff Lane, Lakefield, ON K0L 2H0	1,200.
June 17/22	Patricia Elder	2365 Kawartha Heights BLvd. Ptbo K9K 1S4	1,200.
June 29/22	Brad Smith	1718 Keppler Crescent, Ptbo K9K 2P1	1,000.
July 5/22	Cindy Black	1199 Clonsilla Avenue, Ptbo K9J 5Y6	500.
	Paul Jarvis	111-2183 Walker Avenue, Ptbo K9J 5Y6	500.
	Margaret Cahill	716 Hopkins Avenue, Ptbo K9J 4Y5	300.
	Larry Love	795 Norwood Terrace, Ptbo K9J 6L9	350.
July 12/22	Mike Melnik	192 Dalhousie Street, Ptbo K9J 2M2	500.
	Dan McWilliams	712 The Kingsway, Ptbo K9J 6W6	500.
July 19/22	Vivien Falls	1766 Stewartcroft Crescent, Ptbo K9K 1J9	300.
	Stephen Kylie	13 Crestwood Avenue, Ptbo K9J 1M9	400.
July 22/22	Patricia Davenport	R. R. # 3, Lakefield, K0L 2H0	500.
	Peter Blodgett	193 Aylmer St. N., Ptbo K9J 3K2	500.
July 25/22	Tim Barrie	59 Kingswood Dr., Ptbo K9J 6N2	500.
Aug. 12/22	Andrew Beamer	773 Carol Drive, Ptbo. K9H 6B3	250.
	Godfrey Desira	3112 Westridge Blvd. Ptbo. K9K 1Z4	250.
Aug.18/22	Satish Thakkar	#18 -7001 Steeles Ave. W., Toronto M9W 0A2	1,200.
	Patricia Morton	39-300 Franmor Dr., Ptbo. K9H 7R2	1,000.
	David Morton	39-300 Franmor Dr., Ptbo K9H 7R2	1,000.
	CARRIED FORWARD:		<u>17,500.0</u>

PART III, Table 3

**Monetary Contributions Exceeding \$100 From Individuals Other Than Candidate or Spouse
 Campaign for Henry Clarke**

PAGE 2

DATE REC'D	NAME OF DONOR	ADDRESS	AMOUNT
	BROUGHT FORWARD:		17,500
Aug. 25/22	David Goyette	63 Stewart Drive, Lakefield K0L 2H0	450
Aug. 28/22	Robert Hall	1337 Hilliard St., Ptbo K9H 6S3	200
	Heather Stelzer	20 Weller Crescent, Ptbo K9J 6J5	200
Sept. 5/22	Glen Moloney	694 Lily Lake Road, Ptbo K9J 6X4	250
	Frank Moloney	694 Lily Lake Road, Ptbo K9J 6X4	250
	Katherine Cogley	8 Laurel Circle, Ptbo K9J 7G6	200
Sept. 8/22	Alan Slavin	3749 Wallace Point Rd. Ptbo K9J 6Y3	200
	Joel S. Moldaver	121 George St. N., Box 1387, Ptbo K9J 7H6	250
Sept. 12/22	Denise Cooling	1051 Danita Blvd., Ptbo K9J 7K7	300
Sept. 18/22	Janet Lafortune	14-277 Carnegie Ave, Ptbo K9L 0B3	250
Sept. 22/22	Kathleen Ramsay	R.R. #3, Lakefield, ON K0L 2H0	1,200
	John Cranfield	1047 Danita Blvd., Ptbo K9J 7K7	500
	Peggy Shaughnessy	1745 Stewartcroft Cres., Ptbo K9K 1K1	200
Sept. 22/22	Robert Niergarth	15 Manning Avenue, Ptbo K9H 4W2	1,000
Sept. 26/22	Larry Rose	15 Claudette Court, Ptbo K9J 7Y7	200
	Gwynn Mann	1216 Parkhill Road W., Ptbo K9K 0E1	250
Oct. 2/22	Lillian Forester	178-301 Carnegie Avenue, Ptbo K9L 0G1	300
	Gordon Mason	#1-70 Innovation Ave., Stouffville, ON L4A 0Y2	1,000
Oct. 6/22	Harry Huffman	227 Grove Avenue, Ptbo K9L 0A3	250
	Carol Mutton	623 Homewood Avenue, Ptbo K9J 4V4	200
Oct. 18/22	Simon Zhang	45 Charles Street East, Toronto, ON M4Y 1S2	1,200
	James McMillan	43 Bishop St., Box 1445, Lakefield, ON K0L 2H0	500
	TOTAL:		26,850