

**Instructions**

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be immediately paid to the clerk who is responsible for the conduct of the election.

For the campaign period from (day clerk received nomination) 

YYYY	MM	DD
2 0 2 2	0 5	0 5

 to 

YYYY	MM	DD
2 0 2 2	1 2	3 1

☒ Initial filing reflecting finances from start of campaign to December 31 (or 45 days after voting day in a by-election)

☐ Supplementary filing reflecting finances from start of campaign to end of extended campaign period

**Box A: Name of Candidate and Office**

Candidate's name as shown on the ballot

Last Name or Single Name  
CLARKE

Given Name(s)  
HENRY

Office for Which the Candidate Sought Election  
MAYOR

Ward Name or Number (if any)

Municipality  
CITY OF PETERBOROUGH

Spending Limit

General  
\$62,860.50

Parties and Other Expressions of Appreciation  
\$6,286.05

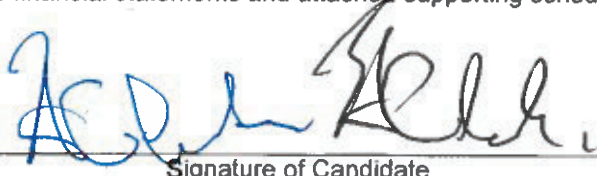
Contribution Limit

Contributions from Candidate and Spouse  
\$20,526.00

☐ I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

**Box B: Declaration**

I, HENRY CLARKE, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

2023/03/13

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)

2023-03-13

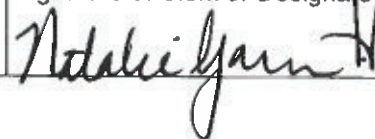
Time Filed

11:05 a.m.

Initial of Candidate or Agent (if filed in person)



Signature of Clerk or Designate



**Box C: Statement of Campaign Income and Expenses****LOAN**

Name of bank or recognized lending institution

Amount borrowed  
\$**INCOME**

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	41,755.03
Revenue from items \$25 or less	+ \$	
Sign deposit refund	+ \$	
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	
Interest earned by campaign bank account	+ \$	
Other (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
6.	+ \$	

**Total Campaign Income (Do not include loan)****= \$ 41,755.03 C1****EXPENSES** (Note: Include the value of contributions of goods and services)**1. Expenses subject to general spending limit**Inventory from previous campaign used in this campaign  
(list details in Table 2 of Schedule 1)

Advertising	+ \$	10,110.41
Brochures/flyers	+ \$	6,150.53
Signs (including sign deposit)	+ \$	19,768.22
Meetings hosted	+ \$	
Office expenses incurred until voting day	+ \$	169.99
Phone and/or internet expenses incurred until voting day	+ \$	
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	
Bank charges incurred until voting day	+ \$	383.53
Interest charged on loan until voting day	+ \$	

Other (provide full details)

1. Campaign launch	+ \$	552.90
2. Website	+ \$	500.00
3. Debate tickets	+ \$	125.00
4.	+ \$	
5.	+ \$	
6.	+ \$	

**Total Expenses subject to general spending limit****= \$ 37,760.58 C2****2. Expenses subject to spending limit for parties and other expressions of appreciation**

1. Food & Beverages for Election Party	+ \$	870.10
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2. Volunteer appreciation dinner	+ \$	553.45	
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
<b>Total Expenses subject to spending limit for parties and other expressions of appreciation</b>	<b>= \$</b>	<b>1,423.55</b>	<b>C3</b>

### 3. Expenses not subject to spending limits

Accounting and audit	+ \$	2,175.00	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$		
Office expenses incurred after voting day	+ \$		
Phone and/or internet expenses incurred after voting day	+ \$		
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$		
Bank charges incurred after voting day	+ \$	5.50	
Interest charged on loan after voting day	+ \$		
Expenses related to recount	+ \$		
Expenses related to controverted election	+ \$		
Expenses related to compliance audit	+ \$		
Expenses related to candidate's disability (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
Other (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
<b>Total Expenses not subject to spending limits</b>	<b>= \$</b>	<b>2,180.50</b>	<b>C4</b>

**Total Campaign Expenses (C2 + C3 + C4)** = \$ **41,364.63** **C5**

### Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	390.40	<b>D1</b>
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	– \$	390.40	
<b>Surplus (or deficit) for the campaign</b>	<b>= \$</b>		<b>D2</b>

If line D2 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

## Schedule 1 – Contributions

### Part I – Summary of Contributions

Contributions in money from candidate and spouse	+ \$	10,375.00	
Contributions in goods and services from candidate and spouse (include value listed in Table 1 and Table 2)	+ \$	1,404.46	
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$	3,125.57	
Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Table 3 and Table 4) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$	26,850.00	
Less: Ineligible contributions paid or payable to the contributor Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	– \$		
	– \$		
Total Amount of Contributions (record under Income in Box C)	= \$	41,755.03	1A

### Part II – Contributions from candidate or spouse

Table 1: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
Refreshments at campaign launch	2022/05/05	344.70
Website	2022/05/06	506.31
Volunteer appreciation dinner	2022/11/26	553.45
Total		1,404.46

☐ Additional information is listed on separate supplementary attachment, if completed manually.

Table 2: Inventory of campaign goods and materials from previous municipal campaign used in this campaign  
(Note: Value must be recorded as a contribution from the candidate and as an expense.)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value (\$)
Total				

☐ Additional information is listed on separate supplementary attachment, if completed manually.

### Part III – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

**Table 3: Monetary contributions from individuals other than candidate or spouse**

Name	Full Address	Date Received (yyyy/mm/dd)	Amount Received (\$)	Amount Returned to Contributor or Paid to Clerk (\$)
See attached list			26,850.00	
Total			26,850.00	

☐ Additional information is listed on separate supplementary attachment, if completed manually.

**Table 4: Contributions in goods or services from individuals other than candidate or spouse**  
 (Note: Must also be recorded as Expenses in Box C.)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
Total				

☐ Additional information is listed on separate supplementary attachment, if completed manually.

**Total for Part III – Contributions exceeding \$100 per contributor**  
 (Add totals from Table 3 and Table 4 and record the total in Part 1 – Summary of Contributions)      \$ 26,850.00 1B



## Schedule 2 – Fundraising Events and Activities

Complete a separate schedule for each event or activity held.

☐ Additional schedule(s) attached, if completed manually.

### Fundraising Event/Activity 1

Description of fundraising event/activity None

Date of event/activity (yyyy/mm/dd) \_\_\_\_\_

#### Part I – Ticket revenue

Admission charge (per person) \$ \_\_\_\_\_ 2A

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold x \_\_\_\_\_ 2B

**Total Part I (2A X 2B) (include in Part I of Schedule 1)** = \$ \_\_\_\_\_

#### Part II – Other revenue deemed a contribution

Provide details (e.g., revenue from goods sold in excess of fair market value)

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

**Total Part II (include in Part I of Schedule 1)** = \$ \_\_\_\_\_

#### Part III – Other revenue not deemed a contribution

Provide details (e.g., contribution of \$25 or less; goods or services sold for \$25 or less)

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

**Total Part III (include under Income in Box C)** = \$ \_\_\_\_\_

#### Part IV – Expenses related to fundraising event or activity

Provide details

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

**Total Part IV Expenses (include under Expenses in Box C)** = \$ \_\_\_\_\_

**Auditor's Report – Municipal Elections Act, 1996 (Section 88.25)**

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Tim J.F. Nicholls Accounting

Municipality

Peterborough

Date (yyyy/mm/dd)

2023/03/10

**Contact Information**

Last Name or Single Name

Nicholls

Given Name(s)

Tim

Licence Number

1-16710

Address

Suite/Unit Number

Street Number

294

Street Name

Rink Street

Municipality

Peterborough

Province

Ontario

Postal Code

K9J 2K2

Telephone Number

705-741-5612

Email Address

Tim@nichollsca.com

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

☒ Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.



**PART III, Table 3**

**Monetary Contributions Exceeding \$100 From Individuals Other Than Candidate or Spouse**

**Campaign for Henry Clarke**

DATE REC'D	NAME OF DONOR	ADDRESS	AMOUNT
May 6/22	Brendan J. Moher		300.00
	Bob Prentice		1,000.00
	Joan McCullough		500.00
	Sheldon Rokin		1,000.00
May 19/22	Robert Rollwagen		150.00
May 27/22	Sylvia Sutherland		200.00
May 28/22	David Haacke		500.00
	Lois Tuffin		1,200.00
	Shirley McDowell		500.00
	Paul Ayotte		200.00
June 6/22	Paul Dietrich		1,200.00
June 17/22	Patricia Elder		1,200.00
June 29/22	Brad Smith		1,000.00
July 5/22	Cindy Black		500.00
	Paul Jarvis		500.00
	Margaret Cahill		300.00
	Larry Love		350.00
July 12/22	Mike Melnik		500.00
	Dan McWilliams		500.00
July 19/22	Vivien Falls		300.00
	Stephen Kylie		400.00
July 22/22	Patricia Davenport		500.00
	Peter Blodgett		500.00
July 25/22	Tim Barrie		500.00
Aug. 12/22	Andrew Beamer		250.00
	Godfrey Desira		250.00
Aug. 18/22	Satish Thakkar		1,200.00
	Patricia Morton		1,000.00
	David Morton		1,000.00
	CARRIED FORWARD:		<b>17,500.00</b>

## PART III, Table 3

## Monetary Contributions Exceeding \$100 From Individuals Other Than Candidate or Spouse

PAGE 2

## Campaign for Henry Clarke

DATE REC'D	NAME OF DONOR	ADDRESS	AMOUNT
BROUGHT FORWARD:			17,500.00
Aug. 25/22	David Goyette		450.00
Aug. 28/22	Robert Hall		200.00
	Heather Stelzer		200.00
Sept. 5/22	Glen Moloney		250.00
	Frank Moloney		250.00
	Katherine Cogley		200.00
Sept. 8/22	Alan Slavin		200.00
	Joel S. Moldaver		250.00
Sept. 12/22	Denise Cooling		300.00
Sept. 18/22	Janet Lafortune		250.00
Sept. 22/22	Kathleen Ramsay		1,200.00
	John Cranfield		500.00
	Peggy Shaughnessy		200.00
Sept. 22/22	Robert Niergarth		1,000.00
Sept. 26/22	Larry Rose		200.00
	Gwynn Mann		250.00
Oct. 2/22	Lillian Forester		300.00
	Gordon Mason		1,000.00
Oct. 6/22	Harry Huffman		250.00
	Carol Mutton		200.00
Oct. 18/22	Simon Zhang		1,200.00
	James McMillan		500.00
TOTAL:			26,850.00

# ***Tim J.F. Nicholls***

**Chartered Professional Accountant**

## **INDEPENDENT AUDITOR'S REPORT**

**To Henry Clarke, Candidate**

### ***Report on the Financial Statement***

I have audited the accompanying Financial Statement - Form 4 of the Campaign to Elect Henry Clarke which includes the Statement of Campaign Income and Expenses for the period May 5, 2022 to December 31, 2022. The financial statement has been prepared by the Candidate based on the financial reporting provisions of the *Municipal Elections Act, 1996*.

### ***Candidate's Responsibility for the Financial Statement***

The Candidate is responsible for the preparation of this financial statement in accordance with the financial reporting provisions of the *Municipal Elections Act, 1996* and for such internal control as the Candidate determines is necessary to enable the preparation of the financial statement that is free from material misstatement, whether due to fraud or error.

### ***Auditor's Responsibility***

My responsibility is to express an opinion on this financial statement based upon my audit. I conducted my audit in accordance with Canadian generally accepted auditing standards. Those standards require that I comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statement is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statement. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statement in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Campaign's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the Candidate, as well as evaluating the overall presentation of the financial statement.

I believe that the audit evidence I have obtained, including verifying Campaign contributions to the Campaign bank account, is sufficient and appropriate to provide a basis for my qualified audit opinion.

### ***Basis for Qualified Opinion***

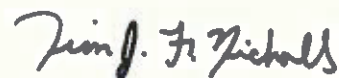
Due to the inherent nature of the transactions of organizations of this type, the completeness of the various categories of income and expenses is not susceptible to satisfactory audit verification. Accordingly, my verification of income and expenses was limited to the amounts recorded in the Campaign's records and I was not able to determine whether any adjustments might be necessary to income and expenses from May 5, 2022 to December 31, 2022.

### ***Qualified Opinion***

In my opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, this financial statement presents fairly, in all material respects, the income and expenses of the Campaign to Elect Henry Clarke from May 5, 2022 to December 31, 2022 in accordance with the financial reporting provisions of the *Municipal Elections Act, 1996*.

### ***Basis of Accounting***

The financial statement is prepared to assist the Campaign to Elect Henry Clarke to comply with the financial reporting provisions of the *Municipal Elections Act, 1996*. As a result, the financial statement may not be suitable for other purposes. My report is intended solely for the use of the Campaign to Elect Henry Clarke and the Ontario Ministry of Municipal Affairs and Housing, and should not be distributed to or used by parties other than the Campaign to Elect Henry Clarke and the Ontario Ministry of Municipal Affairs and Housing.



Peterborough, Ontario  
March 10, 2023

Tim J.F. Nicholls CPA  
Licensed Public Accountant