

**Financial Statement - Auditor's Report
Candidate - Form 4**

Municipal Elections Act, 1996 (Section 88.25)

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2 0 1 8	0 5	0 1

 to

YYYY	MM	DD
2 0 1 8	1 2	3 1

 Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

 Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name

Zippel

Given Name(s)

Kim

Office for which the candidate sought election

Councillor

Ward name or no. (if any)

Otonabee Ward 1

Municipality

City of Peterborough

Spending Limit - General

\$ 14,849.80

Spending Limit - Parties and Other Expressions of Appreciation

\$ 1484.98

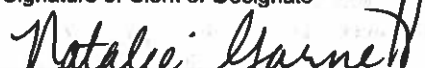
 I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, Kim Zippel, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.


Signature of Candidate

2019-01-14
Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)	Time Filed	Initial of Candidate or Agent (if filed in person)	Signature of Clerk or Designate
2019-01-14	1:53 p.m.	KZ	

NATALIE GARNETT
COMMISSIONER OF OATHS
DEPUTY CITY CLERK
CITY OF PETERBOROUGH

Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution _____

Amount borrowed \$ _____

INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	12,414.48
Revenue from items \$25 or less	+ \$	_____
Sign deposit refund	+ \$	_____
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	_____
Interest earned by campaign bank account	+ \$	2.62
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Campaign Income (Do not include loan)	= \$	12,417.10 c1

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	927.06
Advertising	+ \$	3,048.69
Brochures/flyers	+ \$	2,324.34
Signs (including sign deposit)	+ \$	2,069.84
Meetings hosted	+ \$	51.27
Office expenses incurred until voting day	+ \$	217.51
Phone and/or internet expenses incurred until voting day	+ \$	399.88
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	100.00
Bank charges incurred until voting day	+ \$	18.49
Interest charged on loan until voting day	+ \$	_____
Other (provide full details)		
1. Snacks and drinks for volunteers	+ \$	237.94
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to general spending limit	= \$	9,395.02 c2

EXPENSES**Expenses subject to spending limit for parties and other expressions of appreciation**

1. Legion Hall rental	+ \$	310.75
2. Caterer	+ \$	604.55
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to spending limit for parties and other expressions of appreciation	= \$	915.30 c3

Expenses not subject to spending limits

Accounting and audit	+ \$	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	
Office expenses incurred after voting day	+ \$	17.50
Phone and/or internet expenses incurred after voting day	+ \$	10.11
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	300.00
Bank charges incurred after voting day	+ \$	7.50
Interest charged on loan after voting day	+ \$	
Expenses related to recount	+ \$	
Expenses related to controverted election	+ \$	
Expenses related to compliance audit	+ \$	
Expenses related to candidate's disability (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
Other (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
Total Expenses not subject to spending limits	= \$	335.11 C4

Total Campaign Expenses (C2 + C3 + C4) = \$ 10,645.43 C5

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 - C5)	+ \$	1,771.67	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
Total (D1 - D2)	= \$	1,771.67	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	1,771.67	
Surplus (or deficit) for the campaign	= \$		D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 - Contributions

Part I – Summary of Contributions

Contributions in money from candidate and spouse	+ \$ <u>2,000.00</u>
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$ <u>1,153.93</u>
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ <u>1,807.18</u>
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ <u>7,453.37</u>
Less: Contributions returned or payable to the contributor	- \$ _____
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	- \$ _____
Total Amount of Contributions (record under income in Box C)	= \$ <u>12,414.48</u> 1A

Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
SEE ATTACHED			7,050.00	
Total			7,050.00	

Additional information is listed on separate supplementary attachment

Table 2: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
Ann Farquharson	469 Water Street Peterborough, ON K9H 3M2	thank you cards	2018/05/05	15.80
Ann Farquharson	469 Water Street Peterborough, ON K9H 3M2	food for volunteers	2018/07/17	87.57
Peter Self	1287 Hazeldean Ave. Peterborough ON K9J 5Z5	computer software	2018/11/13	300.00
			Total	403.37

Additional information is listed on separate supplementary attachment

Total for Part II - Contributions exceeding \$100 per contributor
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) **\$ 7,453.37 1B**

Part III – Contributions from candidate or spouse

Table 3: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
stamps	2018/05/08	28.82
food for volunteers	2018/09/14	67.45
food for volunteers	2018/09/28	53.44
food for volunteers	2018/10/14	20.57
domain name registration	2018/05/01	9.00
food	2018/10/20	8.91
stamps and thank you cards	2018/11/20	17.50
lumber and hardware for large signs	2018/09/28	21.18
Signs from 2014 campaign		927.06

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment	Total	1,153.93

Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
Signs	2014/08/06	Renegade Apparel & Package Plus Inc.	177	927.06
<input type="checkbox"/> Additional information is listed on separate supplementary attachment	Total			927.06

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person)
(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

\$		2A
x		2B

Total Part I (2A X 2B) (Include in Part 1 of Schedule 1) = \$ _____

Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1.		+	\$	
2.		+	\$	
3.		+	\$	
4.		+	\$	
5.		+	\$	

Total Part II (Include in Part 1 of Schedule 1) = \$ _____

Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1.		+	\$	
2.		+	\$	
3.		+	\$	
4.		+	\$	
5.		+	\$	

Total Part III (include under Income in Box C) = \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1.		+	\$	
2.		+	\$	
3.		+	\$	
4.		+	\$	
5.		+	\$	
6.		+	\$	
7.		+	\$	
8.		+	\$	

Total Part IV Expenses (include under Expenses in Box C) = \$ _____

Auditor's Report
Municipal Elections Act, 1996 (Section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Municipality	Date (yyyy/mm/dd)
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Contact Information

Last Name or Single Name	Given Name(s)	Licence Number
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Address

Suite/Unit No.	Street No.	Street Name
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Municipality	Province	Postal Code
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Telephone No. (including area code)	Email Address
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The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

Schedule 1 - Contributions Table 1
Monetary contributions to Kim Zippel Campaign Exceeding \$100.00 other than candidate or spouse

DONOR	ADDRESS	DATE	AMOUNT
Ann Farquharson	469 Water St. Peterborough ON K9H 3M2	01-May-18	\$1,000.00
Roger Howson	314 Rubidge St. 2nd Floor PO Box 1077 STN Main Peterborough ON K9J 7A9	01-May-18	\$500.00
Gregory McAllister	106 Bradshaw Street Bowmanville ON L1C 2H3	01-May-18	\$250.00
Kady Denton	657 Water Street, Peterborough ON K9H 3N2	09-May-18	\$300.00
Claude R. Millard	518 Monaghan Road, Peterborough ON K9J 5H5	13-May-18	\$250.00
Michelle Collins	475 Gilmour Street, Peterborough ON K9H 2J8	18-May-18	\$250.00
Thomas Whillans	78 Sophia Street, Peterborough ON K9H 1E1	19-May-18	\$200.00
Mr. Adam Coones	303 Aylmer St. N., Apt. 707, Peterborough ON K9J 7K5	16-Jun-18	\$200.00
Cam Douglas	317 Elias Avenue Peterborough ON K9J 5G8	27-Jun-18	\$250.00
Milford David McManus	405-645 St. David St. S., Fergus ON N1M 0A9	29-May-18	\$1,000.00
Stephen Hill	503 Homewood Avenue Peterborough ON K9H 2N2	27-Jul-18	\$200.00
Cathy McManus	25 Applegate Cres. North York ON M2H 2R5	05-Aug-18	\$150.00
Marguerite Xenopoulos	1419 Ireland Dr. Peterborough ON K9K 0A7	23-Aug-18	\$500.00
John Scott	163 Antrim St Peterborough ON K9H 3G5	08-Sep-18	\$500.00
Warren I Dunlop	502 Hunter St. W. Peterborough ON K9H 2N1	06-Sep-18	\$200.00
Reed Manley	1724 Stenson Blvd. Peterborough ON K9K 1L2	21-Sep-18	\$200.00
John Scott	163 Antrim St Peterborough ON K9H 3G5	24-Sep-18	\$600.00
Barbara J McGregor	41 Hawthorn Ave. Toronto ON M4W 2Z1	19-Sep-18	\$250.00
Dr. Thomas Miller	380 Belmont Ave. Peterborough ON K9H 4S6	29-Oct-18	\$250.00
TOTAL			\$7,050.00

